

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|-----------------------------------|----------------------|----------------------------------|------------------|
| 10/824,264 | 04/14/2004 | Junichi Minamino | 10407-86US 6506 (A3107MT-US1) | |
| 570 AKIN GUMP : | 7590 06/04/200 STRAUSS HAUER & | • | EXAMINER | |
| ONE COMMERCE SQUARE | | | PSITOS, ARISTOTELIS M | |
| 2005 MARKET STREET, SUITE 2200 PHILADELPHIA, PA 19103 | | 00 | ART UNIT | PAPER NUMBER |
| | • | | 2627 | |
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| | | | MAIL DATE | DELIVERY MODE |
| , | | | 06/04/2007 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | Application No. | Applicant(s) | | | |
|--|--|---|--|--|--|--|
| Office Action Summary | | 10/824,264 | MINAMINO ET AL. | | | |
| | | Examiner | Art Unit | | | |
| | • | Aristotelis M. Psitos | 2627 | | | |
| | The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply | | | | | |
| WHIC - Exter after - If NO - Failu Any r | ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DATE of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. Period for reply is specified above, the maximum statutory period were to reply within the set or extended period for reply will, by statute, eply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin vill apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE | N. nely filed the mailing date of this communication. D (35 U.S.C. § 133). | | | |
| Status | | | | | | |
| | Responsive to communication(s) filed on 16 March 2007. | | | | | |
| • — | This action is FINAL . 2b) ☐ This action is non-final. | | | | | |
| 3) | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | |
| | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. | | | | | |
| Dispositi | on of Claims | | • | | | |
| 5)□ 6)⊠ 7)□ | Claim(s) 1-5 and 7-14 is/are pending in the appear of the above claim(s) is/are withdraw Claim(s) is/are allowed. Claim(s) 1-3 and 7-14 is/are rejected. Claim(s) is/are objected to. Claim(s) are subject to restriction and/or | vn from consideration. | | | | |
| Application Papers | | | | | | |
| 10) | The specification is objected to by the Examine The drawing(s) filed on is/are: a) accomplicant may not request that any objection to the Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the Ex | epted or b) objected to by the drawing(s) be held in abeyance. Section is required if the drawing(s) is ob | e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d). | | | |
| Priority u | ınder 35 U.S.C. § 119 | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | | |
| Attachmen | · t(s) | | | | | |
| 1) Notice | e of References Cited (PTO-892) | 4) Interview Summary | | | | |
| 3) 🔀 Infon | e of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date | Paper No(s)/Mail D. 5) Notice of Informal F 6) Other: | | | | |

Application/Control Number: 10/824,264

Art Unit: 2627

DETAILED ACTION

Applicants' response of 3/16/07 has been considered with the following results.

Information Disclosure Statement

The IDS of 1/2/07 has been reviewed and made of record.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 2. Claims 1-3, 12, and 13 are rejected under 35 U.S.C. 102(a) as being anticipated by Terashima et al.

Applicants' attention is drawn to figures 1-10 and the associated descriptions thereof. The claimed elements are described and hence met.

With respect to claims 2 and 3, these functions follow/flow from the structure, positive elements claimed and hence these limitations are met as well.

Method claims 12 and 13 are met when the above system operates.

Response to Arguments

Applicant's arguments with respect to the claims have been considered but are moot in view of the new ground(s) of rejection.

Claim Rejections - 35 USC § 103

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

3. Claims 7,9,10 and 11are rejected under 35 U.S.C. 103(a) as being unpatentable over the art as applied to claims 1-3 as stated above, and further in view of the acknowledged prior art of figure 7 in this application.

The appropriate element is acknowledged as part of the prior art in this environment for the specified use. Hence it would have been obvious to modify the base system as relied upon above with such acknowledged prior art for the reasons stated therewith.

Response to Arguments

Applicant's arguments with respect to the claims have been considered but are moot in view of the new ground(s) of rejection.

4. Claims 4-5 are rejected under 35 U.S.C. 103(a) as being unpatentable over the art as applied to claim 1 further considered with either the Minamino et al PGPUB/ 2004/0027940; the Deguchi et al PGPUB 2003/0002406; or the Deguchi et al patent ('865).

Claim 4 differs from claim 1 in that it also recites a synch detector and function.

The Hisakado et al reference discloses a system using such, lacking the phase shifter.

Any of the secondary references teach such in this environment.

It would have been obvious to modify the base system of Hisakado et al with the above teaching from any of the secondary references, motivation is as discussed in the secondary references.

Response to Arguments

Applicant's arguments with respect to the claims have been considered but are moot in view of the new ground(s) of rejection.

5. Claim 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over the art as applied to claim 4 as stated above, and further in view of the acknowledged prior art of figure 7 in this application.

The appropriate element is acknowledged as part of the prior art in this environment for the specified use. Hence it would have been obvious to modify the base system as relied upon above with such acknowledged prior art for the reasons stated therewith.

Response to Arguments

Applicant's arguments with respect to the claims have been considered but are moot in view of the new ground(s) of rejection.

6. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over the art as applied to claim 1 above, and further in view of Deguchi et al.

With respect to the limitations of this claim, the Deguchi et al system teaches such capabilities – see for instance figure 3.

It would have been obvious to modify the base system as relied upon above with respect to claim

1 and further modify such with the above noted teaching(s) from Deguchi et al, motivation is as discussed therein. Whether modifying the frequency or the phase, such are alternatives noted in the art.

Conclusion

- 7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

 The newly cited references are illustrative of pll in the optical arts.
- 8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action

is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Aristotelis M. Psitos whose telephone number is (571) 272-7594. The examiner can normally be reached on M-F: 6:00 - 2:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dwayne D. Bost can be reached on (571) 272-7023. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> Aristotelis M Psitos Primary Examiner

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